



EFW 3624

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045  
Filed: December 13, 1999  
Confirmation No. 1941  
Inventors:  
Nesmith et al.

Title: COMPUTERIZED  
METHOD AND SYSTEM  
FOR TRADING OF  
SECURITIES

§ Examiner: E. Colbert  
§ Art Unit: 3624  
§ Atty. Dkt. No: 5226-00600  
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<p>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8</p> <p>DATE OF DEPOSIT: <u>July 23, 2004</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:</p> <p>Commissioner for Patents Alexandria VA 22313-1450</p> <p><u>B. Gail Ballard</u> B. Gail Ballard</p>
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**AMENDMENT; RESPONSE TO OFFICE ACTION MAILED APRIL 23, 2004**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313

Sir:

In response to the Office Action of April 23, 2004, please amend the above-identified application as follows:

*Amendments to the Claims* are reflected in the listing of claims that begins on page 2 of this paper.

*Remarks/Arguments* begin on page 16 of this paper.